

The hom functors

Definition. If \mathcal{C} is a category, there are correspondences $\mathcal{C}(X, -)$ and $\mathcal{C}(-, Y)$ defined as follows:

- $\mathcal{C}(X, -)$ comprises
 - a function sending each *object* $A \in \mathcal{C}_0$ to the set of all morphisms $f : X \rightarrow A$.
 - a function sending each *morphism* $u : A \rightarrow A'$ in \mathcal{C} to a function $\mathcal{C}(X, A) \rightarrow \mathcal{C}(X, A')$, defined taking $f : X \rightarrow A$ to $u \circ f : X \xrightarrow{f} A \xrightarrow{u} A'$.
 - The equalities $\mathcal{C}(X, g \circ f) = \mathcal{C}(X, g) \circ \mathcal{C}(X, f)$ and $\mathcal{C}(X, id_A) = id_{\mathcal{C}(X,A)}$ hold.
- $\mathcal{C}(-, Y)$ comprises
 - a function sending each *object* $A \in \mathcal{C}_0$ to the set of all morphisms $f : A \rightarrow Y$.
 - a function sending each *morphism* $u : A \rightarrow A'$ in \mathcal{C} to a function $\mathcal{C}(A', Y) \rightarrow \mathcal{C}(A, Y)$, defined taking $f : A' \rightarrow Y$ to $f \circ u : A \xrightarrow{u} A' \xrightarrow{f} Y$.
 - The equalities $\mathcal{C}(g \circ f, Y) = \mathcal{C}(f, Y) \circ \mathcal{C}(g, Y)$ and $\mathcal{C}(id_A, Y) = id_{\mathcal{C}(A,Y)}$ hold.

$\mathcal{C}(X, -)$ and $\mathcal{C}(-, Y)$ are the (covariant and contravariant) "hom functors" on the objects X and Y .

Some examples of $\mathcal{C}(X, -)$ and $\mathcal{C}(-, Y)$ in categories we know.

- In $\mathcal{C} = \text{Set}_t$, let $X = \{0, 1\}$; then $\text{Set}_t(A, \{0, 1\})$ is the set of all subsets of A , and $\text{Set}_t(u, \{0, 1\})$ is the function sending a subset $U \subseteq A'$ to the subset $u^{-1}U := \{a \in A \mid u(a) \in U\}$.
- In $\mathcal{C} = \text{Set}_p$, let $X = \{\text{true}, \text{false}, \perp\}$; then $\text{Set}_p(A, X)$ is the set of predicates that might fail, defined on A .
- In $\mathcal{C} = \text{Graph}$, fix a (small! Say, with three vertices) graph H and describe the set of graph homomorphisms $G \rightarrow H$.
- In $\mathcal{C} = (\mathbb{N}, +)$, there is only one object $X = \{\bullet\}$. Then, $(\mathbb{N}, +)(\bullet, -)$ sends \bullet to the set of morphisms $\bullet \rightarrow \bullet$, i.e. to $\mathbb{N} = \{0, 1, 2, 3, \dots\}$ and a morphism n to the function $_ + n : \mathbb{N} \rightarrow \mathbb{N}$.
- In $\mathcal{C} = (\mathbb{N}, \leq)$, let $n = 7$. Then $(\mathbb{N}, \leq)(7, -)$ sends $0, 1, \dots, 6$ to the set of morphisms $0, 1, \dots, 6, 7 \rightarrow 7$ (i.e., to a single morphism " $0, 1, \dots, 6, 7 \leq 7$ "), and all number $8, 9, \dots$ to the empty set.

Isomorphism of functors \iff isomorphism of objects

Since $\mathcal{C}(X, -)$ and $\mathcal{C}(-, Y)$ are defined in terms of *families* of sets

$$\{\mathcal{C}(A, Y) \mid A \in \mathcal{C}_0\} \qquad \{\mathcal{C}(X, B) \mid B \in \mathcal{C}_0\}$$

it follows that the correct notion of isomorphism between $\mathcal{C}(X, -)$ and $\mathcal{C}(X', -)$ must take them all into consideration, and thus consists of a family of isomorphisms (=bijective functions)

$$\mathcal{C}(X, A) \xrightarrow{\alpha_A} \mathcal{C}(X', A)$$

all of which are "polymorphic" in the "variable" A .

This means that they behave coherently according the possibility to define a function $\mathcal{C}(X, u) : \mathcal{C}(X, A) \rightarrow \mathcal{C}(X, A')$ out of a function $u : A \rightarrow A'$, and put together both in a square diagram

$$\begin{array}{ccc} \mathcal{C}(X, A) & \xrightarrow{\alpha_A} & \mathcal{C}(X', A) \\ \mathcal{C}(X, u) \downarrow & & \downarrow \mathcal{C}(X', u) \\ \mathcal{C}(X, A') & \xrightarrow{\alpha_{A'}} & \mathcal{C}(X', A') \end{array}$$

declaring that $\alpha_{A'} \circ \mathcal{C}(X, u) = \mathcal{C}(X', u) \circ \alpha_A$.

Polymorphism is (almost) always present when one defines a widget depending on a parameter, and wants it to behave well under change of that parameter.

For example: let $A = \{\text{red}, \text{green}, \text{blue}\}$ be a set; let $B = \{\text{cabbage}, \text{goat}, \text{wolf}\}$ be another set. Obviously $A \cong B$ (for example, under the map $\{\text{red} \rightarrow \text{cabbage}, \text{green} \rightarrow \text{goat}, \text{blue} \rightarrow \text{wolf}\}$). Then, recall how the `List<A>` type is defined in CRUST:

```
type List<A> = nil()
  | cons(A, List<A>)
```

If we want to express the fact that `List<A>` comes equipped with a function $h : A \rightarrow \text{List<A>}$ sending $a : A$ to `cons(a, nil())` for all A 's, polymorphically in A , we have to require that, for every way of transforming the type A on which `List<A>` depends, using $f : A \rightarrow B$, we obtain

that

$$\text{List}\langle f \rangle (h\ a) = h\ (f\ a)$$

which means $\text{List}\langle f \rangle (\text{cons}(a, \text{nil}()) = \text{cons}(f(a), \text{nil}())$ (which is true):

$$\begin{array}{ccc} A & \xrightarrow{h_A} & \text{List}\langle A \rangle \\ f \downarrow & & \downarrow \text{List}\langle f \rangle \\ B & \xrightarrow{h_B} & \text{List}\langle B \rangle \end{array}$$

Polymorphism (or in its mathematical name, *naturality*) is a powerful generalization of this idea, that applies to all correspondences like $\mathcal{C}(X, -)$ and $\mathcal{C}(-, Y)$ and $\text{List}\langle - \rangle$.

The first important observation

If X is isomorphic to Y , then $\mathcal{C}(-, X)$ is isomorphic to $\mathcal{C}(-, Y)$.

There is an easy way to generate an isomorphism $\mathcal{C}(-, Y) \cong \mathcal{C}(-, Y')$, in the above sense [=a "natural" isomorphism] from a pre-existing isomorphism $Y \cong Y'$ in \mathcal{C} , i.e. from a pair

$$u : Y \rightarrow Y', \quad v : Y' \rightarrow Y$$

such that $id_Y = Y \xrightarrow{u} Y' \xrightarrow{v} Y$ and $id_{Y'} = Y' \xrightarrow{v} Y \xrightarrow{u} Y'$. Indeed,

- the fact that the functors $\mathcal{C}(A, -)$ exist, yields for every A a function $\mathcal{C}(A, Y) \xrightarrow{\mathcal{C}(A, u)} \mathcal{C}(A, Y')$, and $\mathcal{C}(A, Y') \xrightarrow{\mathcal{C}(A, v)} \mathcal{C}(A, Y)$. It must then be that

$$\begin{aligned} \mathcal{C}(A, u) \circ \mathcal{C}(A, v) &= \mathcal{C}(A, u \circ v) \\ &= \mathcal{C}(A, id_{Y'}) \\ &= id_{\mathcal{C}(A, Y')} \\ \mathcal{C}(A, v) \circ \mathcal{C}(A, u) &= \mathcal{C}(A, v \circ u) \\ &= \mathcal{C}(A, id_Y) \\ &= id_{\mathcal{C}(A, Y)} \end{aligned}$$

so that $\mathcal{C}(A, Y)$ and $\mathcal{C}(A, Y')$ are bijective functions, inverse to each other.

- Now, if $f : A \rightarrow A'$ is given, polymorphism/naturality for $\hat{u}_X := \mathcal{C}(X, u)$ is expressed by the fact that the square

$$\begin{array}{ccc} \mathcal{C}(A', Y) & \xrightarrow{\hat{u}_{A'}} & \mathcal{C}(A', Y') \\ \mathcal{C}(f, Y) \downarrow & & \downarrow \mathcal{C}(f, Y') \\ \mathcal{C}(A, Y) & \xrightarrow{\hat{u}_A} & \mathcal{C}(A, Y') \end{array}$$

commutes, which means that

$$u \circ (_ \circ f) = (u \circ _) \circ f.$$

This is ensured by the fact that composition is associative! Similarly, for v ,

$$\begin{array}{ccc} \mathcal{C}(A', Y') & \xrightarrow{\hat{v}_{A'}} & \mathcal{C}(A', Y) \\ \mathcal{C}(f, Y') \downarrow & & \downarrow \mathcal{C}(f, Y) \\ \mathcal{C}(A, Y') & \xrightarrow{\hat{v}_A} & \mathcal{C}(A, Y) \end{array}$$

(observe that one can "glue" together the two squares

$$\begin{array}{ccccc} \mathcal{C}(A', Y) & \xrightarrow{\hat{u}_{A'}} & \mathcal{C}(A', Y') & \xrightarrow{\hat{v}_{A'}} & \mathcal{C}(A', Y) \\ \mathcal{C}(f, Y) \downarrow & & \downarrow \mathcal{C}(f, Y') & & \downarrow \mathcal{C}(f, Y) \\ \mathcal{C}(A, Y) & \xrightarrow{\hat{u}_A} & \mathcal{C}(A, Y') & \xrightarrow{\hat{v}_A} & \mathcal{C}(A, Y) \end{array}$$

to get the identities as horizontal compositions.)

The second (more) important observation

$\mathcal{C}(-, X)$ iso to $\mathcal{C}(-, Y)$ implies that X is iso to Y .

Or in other words, *all* polymorphic/natural isomorphisms $\alpha_A : \mathcal{C}(A, X) \cong \mathcal{C}(A, Y)$ originates from an isomorphism $X \cong Y$ in \mathcal{C} .

This is important because

- it allows to derive isomorphism of objects from isomorphisms of functors using those objects as parameters
- it allows to formally reason about isomorphism: in order to prove that $X \cong Y$ (abstract objects that you can touch), fix an object A and look at the sets $\mathcal{C}(A, X)$, $\mathcal{C}(A, Y)$ (concrete sets that you can enumerate): if these sets are *polymorphically* isomorphic, then X must be isomorphic to Y .

The proof will be very fun.

Suppose there is a polymorphic isomorphism $\alpha_A : \mathcal{C}(A, X) \cong \mathcal{C}(A, Y)$. Spelled out: we have α given as a family of bijections $\alpha_A : \mathcal{C}(A, X) \rightarrow \mathcal{C}(A, Y)$ with inverse $\alpha_A^{-1} : \mathcal{C}(A, Y) \rightarrow \mathcal{C}(A, X)$, and such that for every $f : A \rightarrow A'$ the squares

$$\begin{array}{ccc} \mathcal{C}(A', X) & \xrightarrow{\alpha_{A'}} & \mathcal{C}(A', Y) & \mathcal{C}(A', X) & \xleftarrow{\alpha_{A'}^{-1}} & \mathcal{C}(A', Y) \\ \downarrow & & \downarrow & \downarrow & & \downarrow \\ \mathcal{C}(A, X) & \xrightarrow{\alpha_A} & \mathcal{C}(A, Y) & \mathcal{C}(A, X) & \xleftarrow{\alpha_A^{-1}} & \mathcal{C}(A, Y) \end{array}$$

commute. This means:

- $\forall f^{A \rightarrow A'} g^{A' \rightarrow X} : \alpha_A(g \circ f) = \alpha_{A'}(g) \circ f$
- $\forall f^{A \rightarrow A'} h^{A' \rightarrow Y} : \alpha_A^{-1}(h \circ f) = \alpha_{A'}^{-1}(h) \circ f$

Then,

- look at the component $\alpha_X : \mathcal{C}(X, X) \rightarrow \mathcal{C}(X, Y)$. The set $\mathcal{C}(X, X)$ is not empty, so $\mathcal{C}(X, Y)$ contains at least one element, $\alpha_X(id_X) =: u$.
- look at the component $\alpha_Y^{-1} : \mathcal{C}(Y, Y) \rightarrow \mathcal{C}(Y, X)$. The set $\mathcal{C}(Y, Y)$ is not empty, so $\mathcal{C}(Y, X)$ contains at least one element, $\alpha_Y^{-1}(id_Y) =: v$.

Now,

$$\begin{aligned} u \circ v &= \alpha_X(id_X) \circ \alpha_Y^{-1}(id_Y) \\ &= \alpha_X(id_X) \circ f \\ &= \alpha_X(id_X) \circ f \\ &= \alpha_Y(id_X \circ \alpha_Y^{-1}(id_Y)) \\ &= \alpha_Y(\alpha_Y^{-1}(id_Y)) \\ &= id_Y \\ v \circ u &= \alpha_Y^{-1}(id_Y) \circ \alpha_X(id_X) \\ &= \alpha_Y^{-1}(id_Y) \circ f \\ &= \alpha_X^{-1}(id_Y \circ f) \\ &= \alpha_X^{-1}(id_Y \circ \alpha_X(id_X)) \\ &= \alpha_X^{-1}(\alpha_X(id_X)) \\ &= id_X \end{aligned}$$