


# Bicategories of automata, automata in bicategories

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# Prolegomena

Fix a monoidal category  $(\mathcal{K}, \otimes)$ .

## Definition

A **Mealy machine** (of input  $I$  and output  $O$ ) in  $\mathcal{K}$  is a span

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A **Moore machine** (of input  $I$  and output  $O$ ) in  $\mathcal{K}$  is a span

$$E \xleftarrow{d} E \otimes I, E \xrightarrow{s} O$$

# Prolegomena



```
1 record MealyObj I 0 : Set (o ⊔ ℓ ⊔ e) where
2   field
3     E : Obj
4     d : E ⊗ I ⇒ E
5     s : E ⊗ I ⇒ 0
```



Mealy.agda



XMealy.agda



Mealy/Bicategory.agda

# Mealy and Moore

## Definition

The **category** of Mealy machines<sup>1</sup> has objects the Mealy machines as above,  $(E, d, s)$ , and morphisms  $(E, d, s) \rightarrow (F, d', s')$  the  $f: E \rightarrow F$  such that

$$\begin{array}{ccccc} E & \xleftarrow{d} & E \otimes I & \xrightarrow{s} & O \\ f \downarrow & & \downarrow f \otimes I & & \parallel \\ F & \xleftarrow{d'} & F \otimes I & \xrightarrow{s'} & O \end{array}$$

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<sup>1</sup>All definitions from now on can be Moore-ified without effort.

## Mealy and Moore

Let  $P$  be an monoidal monad on  $\mathcal{K}$ ; the Kleisli category of  $P$  becomes monoidal; dually, if  $P$  is opmonoidal, Eilenberg-Moore (not the same Moore!) becomes monoidal.

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A  $P_\lambda$ -machine is a Mealy machine in  $Kl(P)$ ; a  $P$ -machine is a Mealy machine in  $EM(P)$ .

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*Why care?*

- **nondeterminism** (if  $P = \text{powerset}$ ,  $Kl(P) = \mathbf{Rel}$ );
- additional **structure** on objects (they are  $P$ -algebras).



# Mealy and Moore

## Theorem

*The category of Mealy machines fits into a (strict, 2-)pullback in*

**Cat**

$$\begin{array}{ccc} \mathbf{Mly}(I, O) & \longrightarrow & \mathbf{Alg}(- \otimes I) \\ \downarrow & \lrcorner & \downarrow \\ ((- \otimes I)/O) & \longrightarrow & \mathcal{K} \end{array}$$

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(A similar result holds for Moore: replace the comma

$((- \otimes I)/O)$  with the **slice**  $\mathcal{K}/O$ .)

# X-automata

(cf. Adámek-Trnková)

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$$\begin{array}{ccccc} E & \xleftarrow{\quad} & XE & \xrightarrow{\quad} & O \\ & \swarrow & & \searrow & \\ & X\text{-algebra} & & \text{obj. of comma} & \end{array}$$

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which yields at once a pullback characterization of X-automata, ...

# X-automata

X-**Mly** fits in a strict 2-pullback

$$\begin{array}{ccc} X\text{-}\mathbf{Mly} & \xrightarrow{U'} & (X/O) \\ \downarrow v' & \lrcorner & \downarrow v \\ \mathbf{Alg}(X) & \xrightarrow{U} & \mathcal{K} \end{array}$$

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...and in particular when  $X \dashv R$

## Theorem

The category of X-automata is *cocomplete* when  $\mathcal{K}$  is, with colimits created by a canonical functor  $X\text{-Mly} \rightarrow \mathcal{K}$ ; it is *complete* when  $\mathcal{K}$  is.

# X-automata

From [Mac Lane, V.6, Ex. 3]: in every strict pullback of categories

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{U'} & \mathcal{B} \\ \downarrow V' & \lrcorner & \downarrow V \\ \mathcal{C} & \xrightarrow{U} & \mathcal{K} \end{array}$$

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But  $\mathbf{Alg}(X) \rightarrow \mathcal{K}$  creates all limits, and  $X/O \rightarrow \mathcal{K}$  all connected limits; thus the problem boils down to find a **terminal object** (products follow).

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A similar line of reasoning leads to the terminal object in  $X\text{-Mre}$  being  $O_\infty = \prod_{n \geq 0} R^n O$ .

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How to induce a terminal morphism

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$!_E : E \rightarrow O_\infty$  is defined as

$$d_\infty : \text{mate}(\cdots \rightarrow XXXE \xrightarrow{XXd} XXE \xrightarrow{Xd} XE \xrightarrow{s} O)$$

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Products are computed as pullbacks along terminal maps:

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- **Mly**( $I, O$ ) complete with terminal object  $[I^+, O]$  ( $\leftarrow$  free **semigroup**).
- **Mre**( $I, O$ ) complete with terminal object  $[I^*, O]$  ( $\leftarrow$  free **monoid**).

## Behaviour as an adjunction

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There is a composite of **adjoint** functors

$$\mathcal{K}/\mathcal{O}_\infty \begin{array}{c} \xrightarrow{\tilde{F}} \\ \perp \\ \xleftarrow{\tilde{U}} \end{array} \mathbf{Alg}(X)/(\mathcal{O}_\infty, d_T) \begin{array}{c} \xrightarrow{L} \\ \perp \\ \xleftarrow{B} \end{array} X\text{-Mre}$$

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where  $B$  is a ‘behaviour’ functor defined as

$$(E, d, s) \mapsto (!_E : E \rightarrow O_\infty)$$

and its left adjoint  $L$  is determined through the ‘free’ Moore machine on a  $X$ -algebra over the terminal  $O_\infty$ .

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# Bicategories

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It's all fun and games until someone ~~loses an eye~~ uses a bicategory.

- under which assumptions is  $\mathbf{Mly}(I, O)$  the hom-category of a bicategory?
- similar question for Mealy.
- A monoidal category is just  $\mathbf{TM}$  a bicategory with a single object; but then what is a Mealy automaton in a bicategory  $\mathbb{B}$ ?

# Bicategories of Automata

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7. the associator and the unitors are inherited from  $\mathcal{K}$ .

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where  $E \xleftarrow{d} E \times I \xleftarrow{\pi_F} E \times F \times I \xrightarrow{E \times s} F \times J \xrightarrow{d'} F$

## Composition of 1-cells

Proof of associativity is bureaucracy:

$$\begin{aligned}(d_1 \diamond d_2) \diamond d_3 &= \langle d_3 \cdot \pi_{12}, \langle d_2 \cdot \pi_1, d_1 \cdot (E_1 \times s_2) \rangle \cdot (E_1 \times E_2 \times s_3) \rangle \\ &= \langle d_3 \cdot \pi_{12}, \langle d_2 \cdot \pi_1 \cdot (E_1 \times E_2 \times s_3), d_1 \cdot (E_1 \times s_2) \cdot (E_1 \times E_2 \times s_3) \rangle \rangle \\ &= \langle d_3 \cdot \pi_{12}, \langle \underline{d_2 \cdot \pi_1 \cdot (E_1 \times E_2 \times s_3)}, d_1 \cdot (E_1 \times (s_2 \cdot (E_2 \times s_3))) \rangle \rangle\end{aligned}$$

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$$(s_1 \diamond s_2) \diamond s_3 = s_1 \cdot (E_1 \times s_2) \cdot (E_1 \times E_2 \times s_3)$$

Unitality follows a similar (simpler) strategy.

## Corollar(ies)

- there are bicategories

$\underline{\mathbf{Mly}}_{\text{Set}}, \underline{\mathbf{Mly}}_{\text{Cat}}, \underline{\mathbf{Mly}}_{\text{Top}}, \underline{\mathbf{Mly}}_{\text{Pos}}, \underline{\mathbf{Mly}}_{\text{Mon}}, \dots$

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- ? the assignment  $\mathcal{K} \mapsto \underline{\mathbf{Mly}}_{\mathcal{K}}$  is (2-)functorial  $\mathbf{CCat} \rightarrow \mathbf{2-Cat}$  (careful with the 2-cells, Eugene).

## Corollar(ies)

- there are bicategories

$\underline{\mathbf{Mly}}_{\mathbf{Set}}, \underline{\mathbf{Mly}}_{\mathbf{Cat}}, \underline{\mathbf{Mly}}_{\mathbf{Top}}, \underline{\mathbf{Mly}}_{\mathbf{Pos}}, \underline{\mathbf{Mly}}_{\mathbf{Mon}}, \dots$

- if  $\mathcal{K}$  is Cartesian **closed**, all right/left Kan extensions/lifts exist;
- as a corollary, the terminal objects  $[I^+, O]$ ,  $[I^*, O]$  (and also,  $I^+, I^*$ ) can be characterised as Kan extensions (and also, free monads/semigroups)!
- ? the assignment  $\mathcal{K} \mapsto \underline{\mathbf{Mly}}_{\mathcal{K}}$  is (2-)functorial  $\mathbf{CCat} \rightarrow 2\text{-}\mathbf{Cat}$  (careful with the 2-cells, Eugene).
- ? Guitart defines a ‘bicategory of Mealy machines’ as  $\mathbf{Spn}_F(\mathbf{Mon})$ , spans in  $\mathbf{Cat}$  between monoids whose left leg is a fibration. Interesting adjunctions with our  $\underline{\mathbf{Mly}}$ ’s?



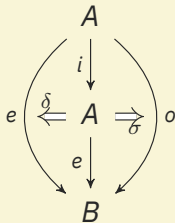
# Automata in bicategories

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 What is a machine **inside** a bicategory  $\mathbb{B}$  with objects  
 $A, B, C \dots$ ? A **bicategorical Moore machine** consists of a span of  
 2-cells in  $\mathbb{B}$

$$e \xleftarrow{\delta} e \circ i \xrightarrow{\sigma} o$$

or rather a diagram of 2-cells



for objects  $A, B \in \mathbb{B}$ .

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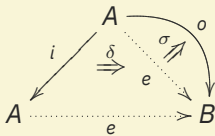
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- so, iterated compositions  $i \circ \dots \circ i$  make sense as much as iterated tensor powers  $I \otimes \dots \otimes I$  made sense in  $\mathcal{K}$ ;
- one can find examples in
  - **categories**, functors and natural transformations;
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  - categories, **profunctors** and 2-cells (a fortiori, in **Rel**);
  - sets and **metric relations**;
  - **topological**, approach, closure **spaces**,...

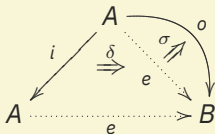
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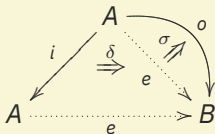


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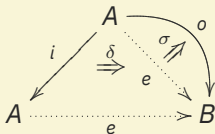


The ‘terminal way’ of filling such a span is the **right extension** of the output cell along the **free monad**  $i^{\sharp}$  on the input:

- from the **unit**  $\eta : 1_A \Rightarrow i^{\sharp}$ , get  $\text{Ran}_i \Rightarrow \text{Ran}_1 = 1_A$ , and thus  $\sigma : \text{Ran}_i o \Rightarrow o$ ;

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- from the **multiplication**  $\mu : i^{\natural} \circ i^{\natural} \Rightarrow i^{\natural}$  get  $\text{Ran}_{i^{\natural}} \Rightarrow \text{Ran}_{i^{\natural}} \circ \text{Ran}_{i^{\natural}}$ , and thus

$$\delta : \text{Ran}_{i^{\natural}} o \circ i \xrightarrow{\text{Ran}_{i^{\natural}} o * \eta} \text{Ran}_{i^{\natural}} o \circ i^{\natural} \xrightarrow{\quad} \text{Ran}_{i^{\natural}} o$$

# Intertwiners

## Definition

An **intertwiner**  $(u, v) : (e, \delta, \sigma)_{A,B} \rightsquigarrow (e', \delta', \sigma')_{A',B'}$  consists of a pair of 1-cells  $u : A \rightarrow A', v : B \rightarrow B'$  and a triple of 2-cells  $\iota, \epsilon, \omega$  disposed as follows:

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such that:

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 \begin{array}{c} \sigma \\ \text{---} \\ l \\ \text{---} \\ \epsilon \end{array} = \begin{array}{c} \epsilon \\ \text{---} \\ \sigma \end{array} & \text{and} & \begin{array}{c} \sigma \\ \text{---} \\ l \\ \text{---} \\ \omega \end{array} = \begin{array}{c} \omega \\ \text{---} \\ \sigma' \end{array} .
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Let  $(u, v), (u', v') : (e, \delta, \sigma) \multimap (e', \delta', \sigma')$  be two parallel intertwiners between bicategorical Mealy machines; a **2-cell**  $(\varphi, \psi) : (u, v) \Rightarrow (u', v')$  consists of a pair of 2-cells  $\varphi : u \Rightarrow u'$ ,  $\psi : v \Rightarrow v'$  such that the following identities hold true:

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Specialized to the monoidal case, the previous two definitions become

- **morphisms** of type

$$\iota : I' \otimes U \rightarrow V \otimes I, \epsilon : E' \otimes U \rightarrow V \otimes E, \omega : O' \otimes U \rightarrow V \otimes O;$$



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- **pairs**  $f : U \rightarrow U'$  and  $g : V \rightarrow V'$  such that

$$\begin{array}{ccc} E' \otimes I' \otimes U & \xrightarrow{d' \otimes U} & E' \otimes U \\ \downarrow E' \otimes I' \otimes f & & \downarrow E' \otimes f \\ E' \otimes I' \otimes U' & \xrightarrow{d' \otimes U'} & E' \otimes U' \end{array} \qquad \begin{array}{ccc} V \otimes E \otimes I & \xrightarrow{V \otimes d} & V \otimes E \\ \downarrow g \otimes E \otimes I & & \downarrow g \otimes E \\ V' \otimes E \otimes I & \xrightarrow{V' \otimes d} & V' \otimes E \end{array}$$

Open problems

## Of other bicategories

In 1974 Guitart defined a **bicategory of Mealy machines**:

- the objects are categories  $M, N, \dots$  (actually, monoids);
- the 1-cells are spans

$$M \xleftarrow{D} \mathcal{E} \xrightarrow{S} N$$

where  $D$  is a fibration and  $S$  is a functor.

- composition of 1-cells is as in **Span**.
- G then proves that **MAC** is the **Kleisli bicategory** of the diagram monad  $C \mapsto \mathbf{Cat} // C$ ;

We conjecture the existence of a **left pseudo-adjoint**  $L$  in

$$L : \mathbf{Mly}_{\mathbf{Cat}} \begin{array}{c} \xrightarrow{\quad} \\ \perp \\ \xleftarrow{\quad} \end{array} \mathbf{MAC} : G$$

## Nondeterminism in equipments

- In **Rel**,  $R = \text{Ran}_{I^{\dagger}} O$  is the relation defined as

$$(a, b) \in R \iff \forall a' \in A. ((a', a) \in I^{\dagger} \Rightarrow (a', b) \in O).$$

This relation expresses *reachability* of  $b$  from  $a$ :

$$a R b \iff \left( (a' = a) \vee (a' \xrightarrow{I} a_1 \xrightarrow{I} \dots \xrightarrow{I} a_n \xrightarrow{I} a) \Rightarrow a' O b \right)$$

- Passing from automata in **Cat** to automata in Prof accounts for a form of nondeterminism; one can conjecture to be able to address *nondeterministic* BA in  $\mathbb{B}$  as *deterministic* BA in a **proarrow equipment**.

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Get in touch if you have ideas / want to join!

